



Director's Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Giuliano et al.
Serial No.: 60/442,495 (requesting conversion)
Group Art Unit: Unknown
Filed: January 24, 2003
Examiner: Unknown
For: INTEGRATED CHARGE RING

REQUEST UNDER 37 C.F.R § 1.53(c)(3) TO CONVERT PROVISIONAL APPLICATION

MAIL STOP CONVERSION
Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicants respectfully request that Provisional Application Serial No. 60/442,495, filed January 24, 2003, be converted to a Non-provisional Application and that examination of the application commence as soon as possible. The fee set forth in 37 C.F.R. § 1.17(i) is included with this Request and the application as filed contained at least one claim as prescribed by the second paragraph of 35 U.S.C. § 112.

If additional fees are incurred because of this Request and are not included, the Commissioner is authorized to charge said additional fees, as well as credit any overpayments, to Deposit Account No. 08-2789 of Howard & Howard Attorneys, P.C.

Adjustment date: 03/08/2004 JTIPPETT
01/28/2004 CNGUYEN 00000121 60442495
01 FC:1001 -770.00 OP
02 FC:1051 -130.00 OP
03 FC:1053 -130.00 OP
04 FC:1808 -130.00 OP

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS

1-21-04
Date

01/28/2004 CNGUYEN 00000121 60442495
01 FC:1001 770.00 OP
02 FC:1051 130.00 OP
03 FC:1053 130.00 OP
04 FC:1808 130.00 OP

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03/08/2004 JTIPPETT 00000002 10713909
01 FC:1001 770.00 OP
02 FC:1051 130.00 OP
03 FC:1053 130.00 OP
03/08/2004 JTIPPETT 00000001 60442495
01 FC:1460 230.00 OP

PATENT

(Attorney's Docket No.60,126-213)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stefano GIULIANO and Peter MARQUARDT

Serial No.: 60/442,495 (requesting conversion)

Group Art Unit: Not Assigned

Filed: January 24, 2003

Examiner: Not Assigned

For: INTEGRATED CHARGE RING

Mail Stop: Conversion
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number

EV 377750865 US

Date of Deposit

January 23, 2004

I hereby certify that the following attached paper(s) or fee

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Post Card |
| <input checked="" type="checkbox"/> | Fee Transmittal and Check |
| <input checked="" type="checkbox"/> | Request Under 37CFR§1.53(c) (3) To Convert Provisional Application |
| <input checked="" type="checkbox"/> | Un-Executed Declaration & Power of Attorney |
| <input checked="" type="checkbox"/> | Preliminary Amendment |
| <input checked="" type="checkbox"/> | Request That English Translation Be Used |
| <input checked="" type="checkbox"/> | Patent Application in English |
| | 12 pages Specifications, 3 Pages Claims |
| | 1 Page Abstract |

| | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Submission of Formal Drawings; Five (5) Sheets of Formal Drawings |
| <input checked="" type="checkbox"/> | Certification of English Translation of Earlier Filed Non-English Application |
| <input checked="" type="checkbox"/> | Certified copy of German Priority Document |
| <input checked="" type="checkbox"/> | IDS Statement |
| <input checked="" type="checkbox"/> | Form PTO-1449 and Cited References |
| <input type="checkbox"/> | |
| <input type="checkbox"/> | |

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Conversion, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sandra L. Barry

(Typed or printed name of person mailing paper or fee)

Sandra L. Barry

Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.



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FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1160

Complete if Known

| | |
|----------------------|------------------------------------|
| Application Number | 60/442,495 (requesting conversion) |
| Filing Date | January 24, 2003 |
| First Named Inventor | Giuliano et al. |
| Examiner Name | Not Yet Assigned |
| Group / Art Unit | Not Yet Assigned |
| Attorney Docket No. | 60,126-213 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other
☐ Deposit Account ☐ None

Deposit
Account
Number

08-2789

Deposit
Account
Name

Howard & Howard

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | 770 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$) 770

2. EXTRA CLAIM FEES

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|-----------------------|--------------|-----------------|----------------------|-------------|
| Total Claims | 12 | -20** | 0 | 0 |
| Independent Claims | 1 | -3** | 0 | 0 |
| Multiple Dependent | | | | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 200 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|-------------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 130 |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | 130 |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>exparte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | 130 |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 390

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---------------------|---------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Gregory D. DeGrazia | Registration No. Attorney/Agent | 48,944 | Telephone | 248.723.0325 |
| Signature | | | | Date | 1-23-04 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.